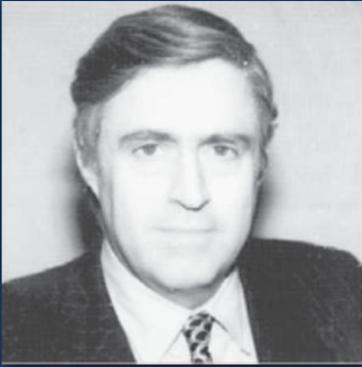




225th Anniversary
1789-2014



Lewis A. Kaplan

Born:

1944

Staten Island, NY

Received Commission:

August 10, 1994

Judge Kaplan was appointed United States District Judge for the Southern District of New York on August 10, 1994 and entered on duty August 22, 1994. He graduated from the University of Rochester with an A.B. with high honors in political science in 1966 and received a J.D. from Harvard Law School cum laude in 1969. He then served as law clerk to Honorable Edward M. McEntee of the United States Court of Appeals for the First Circuit.

Judge Kaplan is a member of the U.S. Judicial Panel on Multidistrict Litigation. In recent years, Judge Kaplan has served as a member of United States delegations to judicial workshops on intellectual property rights sponsored by the United States Embassies in Italy and Estonia. In 2005, he spoke on trademark counterfeiting at a seminar held by the Embassy of the Republic of France.

In 2009, Judge Kaplan was awarded the Federal Bar Council's Learned Hand Award for excellence in federal jurisprudence and gave the Ruby R. Vale Distinguished Scholar Lecture at Widener University School of Law. The New York State Bar Association Section on Commercial and Federal Litigation in 2007 awarded Judge Kaplan its Stanley H. Fuld Award for "outstanding contributions to commercial law and litigation." In 2007, Judge Kaplan was the keynote speaker at a program on Defending the White Collar Case presented by the National Association of Criminal Defense Lawyers and Georgetown University Law Center. In 2001, he gave the Thirty-First Annual Brace Lecture before the Copyright Society of the United States. A sampling of his recent publications include: *Wrongful Convictions and the Right to Counsel*, *New York Law Journal* 6:4 (May 6, 2009); *Should We Reconsider Corporate Criminal Liability?*, *12 NY Litigator* No. 1, 3 (2007); and *Federal Sentencing: Where Do We Go From Here?*, *223(25) N.Y.L.J.*4:4 (Feb. 7, 2005).

Judge Kaplan is a Judicial Fellow of the American College of Trial Lawyers and a member of the American Law Institute, the American and New York State Bar Associations, the Association of the Bar of the City of New York, and the Federal Bar Council. Prior to joining the bench, he served as a Trustee of the Lawyers' Committee for Civil Rights Under Law, a member of the Trustees' Council of the University of Rochester, a Village Trustee, and as a member of the editorial board of the *Bank and Corporate Governance Law Reporter*. He has lectured frequently in the areas of securities regulation, civil RICO, antitrust and federal practice.